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Docket No.: 4939P001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

DENNIS KWAN

Application No.: 09/851,734

Filed: May 8, 2001

For: **SOFTWARE MODEM ARCHITECTURE**

Art Group: 2681

Examiner:

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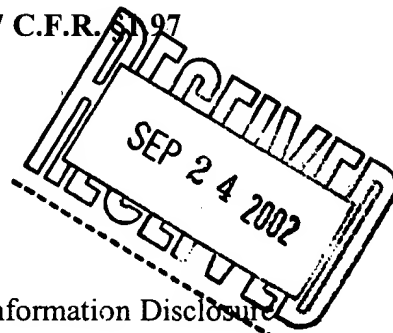
SEP 18 2002

Technology Center 2000

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:



In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

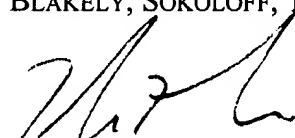
Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: \_\_\_\_\_

Sep 9/02



\_\_\_\_\_  
Tarek N. Fahmi, Reg. No. 41,402

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*Monique M. Pearson*

*Date*

+

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(use as many sheets as necessary)**

Sheet

1

of

2

**Complete if Known**

Application Number	09/851,734
Filing Date	May 8, 2001
First Named Inventor	Dennis Kwan
Group Art Unit	2681
Examiner Name	
Attorney Docket Number	4939P001

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U.S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

[illegible]

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO

**Complete if Known**

Application Number	09/851,734
Filing Date	May 8, 2001
First Named Inventor	Dennis Kwan
Group Art Unit	2681
Examiner Name	
Attorney Docket Number	4939P001

Sheet	2	of	2
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## OTHER ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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